

	U.S. PATENT DOCUMENTS					
Examiner City		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages	
Initials*	No.1	Number-Kind Code ²	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	
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Examiner Initials *	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	Ĩ
W		EP 1065534	01-03-01	Nortel Networks Limited		
M		EP 1058136	12-06-00	British Telecommunications		
Tan		JP 2001-154053	06-08-01	Jacques et al.		П
M		JP 2001-100163	04-13-01	Sumitomo Osaka Cement Co Ltd		
701		JP 10-246828	09-14-98	Nippon Telegr & Teleph Corp		П
7		JP 07-333446	12-22-95	Nippon Telegr & Teleph Corp		П
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M		JP 04-113302	04-14-92	Nippon Telegr & Teleph Corp		
744		JP 03-267902	11-28-91	Nippon Telegr & Teleph Corp	•	
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75		JP 63-182608	07-27-88	Nippon Telegr & Teleph Corp		
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1 Applicant's unique citation designation number (optional). 2 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP

¹ Applicant's unitrie citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/C	BA A A A A A A A A A A A A A A A A A A	المد		Application Number	10/054,618	
Substitut	e for Marin	18mg	49	Filing Date	January 22, 2002	
INFO	RMATION	DISC	CLOSURE	First Named Inventor	GOH, et al.	
STA	TEMENT B	Y AP	PLICANT	Art Unit	2874	
(us	e as many shee	ets as n	ecessary)	Examiner Name	Not Yet Known	
Sheet	2	of	2	Attorney Docket	72887	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
TM		Abe M, Inoue Y, Moriwaki K, Okuno M, Ohmori Y. Optical path length trimming technique using thin film heaters for silica-based waveguides on Si. Electronic Letters 1996; 32(19):1818-1819.	
TML		Moriwaki K, Abe M, Inoue Y, Okuno M, Ohmori Y. New silica-based 8 x 8 thermo-optic matrix switch on Si that requires no bias power. OFC Technical Digest 1995; Feb. 26-March 3: 211-212.	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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PTO/SEC	8A	1440		Application Number	10/054,618	
A Paga or or e	for Form PTO-	1449		Filing Date	January 22, 2002	
INF	ORMATION	N DISC	CLOSURE	First Named Inventor	GOH, et al.	
STA	TEMENT I	BY AP	PLICANT	Art Unit	2874	
(6	use as many sh	eets as n	ecessary)	Examiner Name	Not Yet known	
Sheet	1	of	2	Attorney Docket	72887	

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M		US- 4,781,424	11-01-98	Kawachi et al.		
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$\neg \Lambda$		EP 0297851 A2	01-04-89	Nippon Telegr & Teleph		Ц
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¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

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10/054,618 **Application Number** Substitute for Form PTO-1449 January 22, 2002 Filing Date INFORMATION DISCLOSURE First Named Inventor GOH, et al. STATEMENT BY APPLICANT Art Unit 2874 **Examiner Name** Not Yet_Known (use as many sheets as necessary) 72887 Sheet 2 of 2 Attorney Docket

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examine nitials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
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M		J. Canning et al: Birefringence compensation, improved fringe contrast and trimming in an integrated asymmetric Mach-Zehnder interferometer using mid-IR laser processing vol. 14, no. 2; May 2000	
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